

Fire disturbance data improves the accuracy of remotely sensed estimates of aboveground biomass for boreal forests in eastern Canada



Dinesh Babu Irulappa Pillai Vijayakumar^{a,*}, Frédéric Raulier^a, Pierre Bernier^b, Sylvie Gauthier^b, Yves Bergeron^c, David Pothier^a

^a Centre d'Étude de la Forêt, and Faculté de Foresterie, de Géographie et de Géomatique, Université Laval, 2405 rue de la Terrasse, Terrasse, Québec, QC, Canada G1V 0A6

^b Natural Resources Canada, Canadian Forest Service, Laurentian Forestry Centre, 1055 du P.E.P.S., P.O. Box 10380, Sainte-Foy Stn., Stn., Québec, QC, Canada G1V 4C7

^c Institut de recherche sur les forêts, Université du Québec en Abitibi-Témiscamingue, 445 boulevard de l'Université, Rouyn-Noranda, QC, Canada J9X 4E5

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ABSTRACT

Accurate estimation of aboveground biomass (AGB) using remote sensing data is still challenging and an approach based on an understanding of forest disturbance and succession could help improve AGB estimation. In the boreal forest of North America, time since last fire (TSLF) is seen as a useful variable to explain post-fire successional change and aboveground biomass (AGB). Within a large study area ($> 200\,000\text{ km}^2$) located in the northeastern American boreal forest, we compared remotely sensed biomass estimates of MODIS (Moderate Resolution Imaging Spectroradiometer), GLAS (Geoscience Laser Altimeter System) and ASAR (Advanced Synthetic Aperture Radar) with inventory-based estimates derived from ground plots, and forest maps at a spatial resolution of 2-km^2 . We identified that TSLF could explain the error observed in remotely sensed AGB estimates (MODIS (45%), GLAS (47%) or ASAR (23%)) when associated with surficial geological substrate information at that scale. Our results therefore show the importance of TSLF as a potential ancillary variable for improving the accuracy of remotely sensed AGB estimates in North American boreal forests.

1. Introduction

Forested biomes cover approximately 3.7 billion ha (FAO, 2015), and contribute significantly to the global carbon (C) cycle (McGuire, 2002), notably circumboreal forests (32% of global forest carbon stocks, Pan et al., 2011). In a recent study, Bradshaw and Warkentin (2015) reported total carbon stocks of 367.3–1715.8 Pg (mid-point = 1095 Pg), which are about 3.8 times those estimated by Pan et al. (2011) for boreal forests. These considerable differences indicate that improvement is still required to increase the accuracy of C stock estimates. Information on aboveground biomass (AGB) is used for assessing forest ecosystem productivity (Malhi, 2012), and for supporting bio-energy production (Mansuy et al., 2015).

Maps of AGB derived from remote sensing are fundamentally based on the pixel-level application of empirical models relating spectral reflectance data to field measurements of biomass, themselves derived from tree-level measurements and allometric equations or biomass expansion factors. Various sensors have different operating potentials and constraints. More specifically, optical multispectral imagery (e.g. Moderate Resolution Imaging Spectroradiometer, MODIS) and short-wavelength radar data (e.g. C-band Advanced Synthetic Aperture

Radar, ASAR) are limited by saturation regions of high biomass and complex canopy structures (Turner et al., 1999; Pflugmacher et al., 2012). LiDAR (Light Detection and Ranging) based active remote sensing technologies can measure canopy height and crown dimensions directly, through measurements of distance between the sensor and target (Drake et al., 2003), thereby overcoming data saturation in biomass estimation (e.g., Geoscience Laser Altimeter System (GLAS) sensor, Zhang et al., 2014a; Zhang et al., 2014b).

The comparisons of biomass maps from different sources of remote sensing data may also provide useful information from which more accurate AGB estimates can be derived (e.g., Avitabile et al., 2011; Mitchard et al., 2013; Mitchard et al., 2014). Hill et al. (2013) found only low correlations between the various estimates, from nine different studies (e.g., Saatchi et al., 2011; Baccini et al., 2012) based on MODIS and GLAS data acquired across tropical forests at the extent of continental Africa.

Ground data is also often insufficient to properly estimate errors in remotely sensed estimates (Hill et al., 2013; Mitchard et al., 2014). The addition of prior vegetation recovery trends, disturbance histories, and known forest structural attributes to remote sensing data is known to improve the accuracy of biomass estimation (Main-Knorn et al., 2011;

* Corresponding author.

E-mail address: dinesh-babu.irulappa-pillai-vijayakum.1@ulaval.ca (D.B. Irulappa Pillai Vijayakumar).

Pflugmacher et al., 2014). However, remotely sensed AGB estimates seldom consider the spatial knowledge of recorded disturbances and recovery patterns of the forest under study (Chu and Guo, 2014).

In North American boreal ecosystems, changes in C storage over time are related to fire events (Harden et al., 2000), which are highly stochastic in both time and space (Girardin et al., 2013). A disturbance regime may be characterized by disturbance frequency, size and severity (Bergeron et al., 2002). Small fires are most frequent, but infrequent large fires predominantly shape the boreal landscape mosaic (Johnson et al., 1998; Bergeron et al., 2004). Time since last fire (TSLF) is the most significant predictor of postfire canopy recovery (Mansuy et al., 2012), which is itself related to changes associated with forest structural attributes over time (e.g. canopy cover) that control AGB at the scale of landscapes (Irulappa Pillai Vijayakumar et al., 2016). However, burn severity varies inside fire perimeters (Johnstone et al., 2010; Jin et al., 2012), blurring the relationship between disturbance history, post-fire canopy recovery and AGB (Lecomte et al., 2006; Chaieb et al., 2015). Biomass estimation must therefore be done at a scale that matches that of the dominant disturbance, namely fire (Frolking et al., 2009).

The objectives of this study were 1) to compare biomass (AGB) maps derived from MODIS, GLAS, and ASAR with those obtained from an AGB model based on ground-inventory data amassed over a large area of the boreal forest in eastern Canada, and 2) to assess if the error observed with remotely sensed AGB estimates (differences between inventory-based AGB and remotely sensed AGB estimates) can be explained by TSLF, vegetation cover and geological substrate information.

We obtained AGB estimates based on MODIS and ASAR data collected for the study area from Beaudoin et al. (2014) and Thurner et al. (2014), respectively. We developed an AGB model for GLAS data following a methodology successfully developed by Zhang et al. (2014b). We compared these estimates with those of an inventory-based AGB map (Irulappa Pillai Vijayakumar et al., 2016) elaborated from aerial photo-interpreted stand maps and a large number of forest inventory plots.

2. Material and methods

2.1. Study area

The region (Fig. 1) selected for this study is situated in eastern Canada (49°N to 52°N and 66°W to 79° 30'W, area: 217,000 km²), and is entirely included within the boreal black spruce (*Picea mariana* (Mill.) BSP) -moss bioclimatic domain (Robitaille and Saucier, 1998). This

choice was based on the availability of fire history maps and forest inventory data. The study area can be divided into a western and an eastern regions that differ in biophysical environments and consequently in fire regime and vegetation. The western region has an annual precipitation of 700 mm y⁻¹ and a mean annual temperature of -0.65 °C (Robitaille and Saucier, 1998). It is characterized by relatively short fire return intervals (270 years; Bergeron et al., 2004) and its landscapes are dominated by post-fire tree species such as black spruce, jack pine (*Pinus banksiana* Lamb.) and, to a lesser extent, by white birch (*Betula papyrifera* Marsh.) and trembling aspen (*Populus tremuloides* Michx.). The eastern region shows a wetter and cooler climate with an annual precipitation between 1000 and 2000 mm y⁻¹ and a mean annual temperature of -1.5 °C (Saucier et al., 2009). Fire return intervals are thus longer (> 500 years; Bouchard et al., 2008) and landscapes host abundant fire-averse balsam fir (*Abies balsamea* [L.] Mill.), mixed with black spruce.

2.2. General design

A general flow diagram of the data and methods used for AGB estimation and comparison is displayed in Fig. 2.

The successive steps of our research were:

1. First, obtain the inventory, MODIS and ASAR based AGB estimates for our study region from Irulappa Pillai Vijayakumar et al. (2016), Beaudoin et al. (2014) and Thurner et al. (2014), respectively.
2. Develop a model for predicting GLAS based AGB estimates with the methodology of Zhang et al. (2014b).
3. Reproject remotely sensed AGB estimates and rescale them to a common projection and resolution.
4. Compute the differences between inventory-based and remotely sensed AGB estimates.
5. Explain the observed differences between inventory-based and remotely sensed estimates using TSLF, vegetation cover information and geological substrate information.

We used for our model building process (steps: 2 and 5) non-parametric model Random Forests (RF). RF is a supervised learning algorithm that develops non-parametric models without making any assumption on the underlying distribution of the data. It randomly subsamples data (bootstrap) such that 63% of data is utilized for training and the remaining data is used for cross-validation. For each bootstrap sample from the training data, decision trees are constructed by choosing the best predictor variables to split data into homogenous

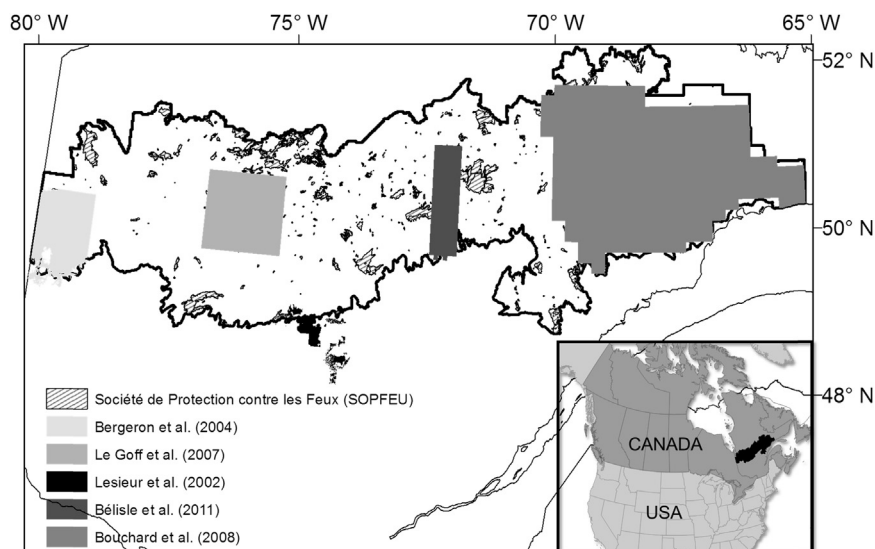


Fig. 1. Locations of the study area (dark outline) and training datasets (grey areas) from the published studies (Le Goff et al., 2007 and Lesieur et al., 2002).

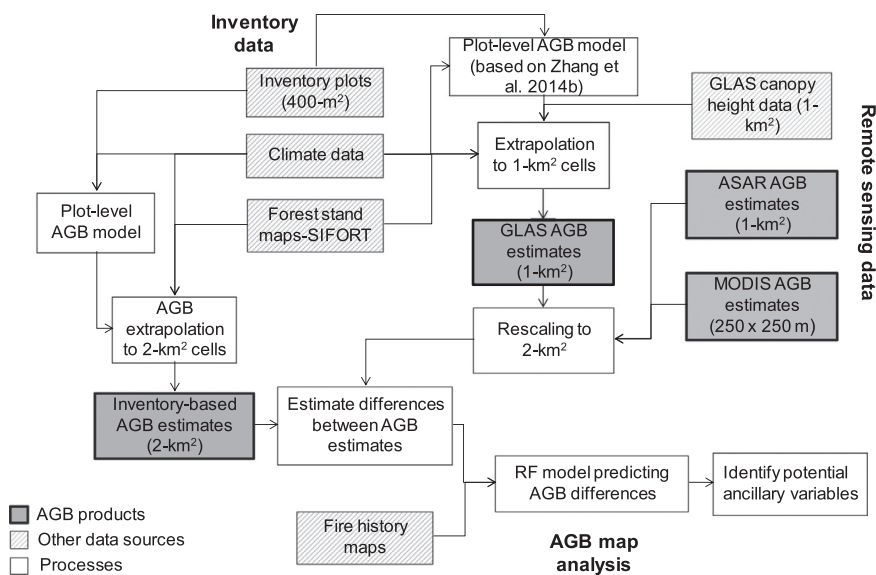


Fig. 2. General flow diagram.

sets based on least mean square error. Decision trees are grown until there is no more significant improvement in accuracy. Finally, aggregation of decision trees is used for predictions. We used the following settings for each bootstrap iteration of RF models: 1), the number of decision trees constructed during each run (*n*tree) was fixed to 1000; 2), the number of predictor variables taken for constructing each tree node (*m*try) was set to one third of the number of variables chosen for fitting a RF model; 3), the minimum size of the terminal nodes (*node size*) was fixed to 5.

We developed RF models using the *randomForest* package for R (Liaw and Wiener, 2002). The predictors for fitting RF models were selected using the *Boruta* package in R (Number of iterations=1000; number of trees=1000) (adapted from Kursa and Rudnicki, 2010). We selected the six most important variables assessed by *Boruta* to fit parsimonious and robust models (Thompson and Spies, 2009). Correlation analyses were performed to detect collinearity between the selected predictors (threshold: $r > 0.70$, Dormann et al., 2013) to avoid problems of multicollinearity.

2.2.1. Estimation of AGB based on inventory data

We used the inventory-based AGB estimates of Irulappa Pillai Vijayakumar et al. (2016) in which AGB values were estimated at a spatial resolution of 2-km² (1414 m × 1414 m). This cell-size corresponds to the minimum size for large fires included in the Canadian large fire database. These large fires account for 97% of the total area burned between 1959 and 1999 (Stocks et al., 2003). This resolution also seemed adequate to circumvent confounding issues typically found with finer resolution, such as the variation of post-fire forest recovery patterns within burned areas due to the within-fire variability of fire severity (Jin et al., 2012) and neighboring effects (Frelich and Reich, 1999).

Values of aboveground biomass carbon (ABC, being equal to 50% of AGB, Gower et al., 1997) were first obtained from inventory plots (temporary sample plot database; 400-m², 1992–2003), and then successively scaled to 14-ha tesserae and to 2-km² cells, as in Irulappa Pillai Vijayakumar et al. (2016). Key to this scaling exercise was the availability of spatially explicit forest stand properties across the study area with the SIFORT geospatial database (Spatial Information on Forest Composition based on Tesserae, Pelletier et al., 2007) originating from forest maps (1:15,000 scale) and elaborated by the Quebec Ministry of Natural Resources between 1990 and 1999 for its third inventory program (1992–2002). The SIFORT database consists of a mosaic of square tiles each covering an average area of 14 ha to which were attributed the forest properties values of the stand located at the

tile centroid from inventory-based forest maps. Tiles whose centroids fell on wetlands and peatlands, water, heaths, harvested land, insect-killed stands or windthrows and human infrastructure were excluded from the Irulappa Pillai Vijayakumar et al. (2016) dataset, leaving 89% of the study area, or about 193,000 km², to be used for AGB estimation.

A RF model of ABC ($r^2 = 0.50$, RMSE = 13.88 Mg ha⁻¹) was trained at the plot level by Irulappa Pillai Vijayakumar et al. (2016) using estimated ABC values and observed forest attributes and climate variables. This model was then used on all SIFORT tile centroids within a training dataset, and the resulting estimates were then averaged among tile centroids within 2-km² cells to train another non-parametric RF model at 2-km² spatial resolution ($r^2 = 0.83$, RMSE = 0.28 Gg km⁻²) with the objective of obtaining spatially continuous ABC estimates at the 2 km² spatial resolution for the whole study area. This training dataset included all areas for which a TSLF value could be provided from contemporaneous or historical fire maps and covered 43.8% of the present study area (Fig. 1). Relative proportions of canopy cover density classes were the main variables influencing ABC estimates at the 2-km² spatial resolution. More detailed information is found in Irulappa Pillai Vijayakumar et al. (2016).

2.2.2. Estimation of AGB from remote sensing data

We used three remote sensing data products (MODIS, GLAS and ASAR) to estimate biomass at the 2-km² scale. We selected these three data sources to consider the most common types of sensors (passive [optical multi-spectral] and active [radar and laser scanner]) used for AGB estimation (Lu et al., 2014).

We first calibrated an AGB model with spaceborne GLAS LiDAR canopy height data (Simard et al., 2011) by adapting the methodology developed by Zhang et al. (2014b). Plot-level AGB values ($n = 8739$, third forest inventory [1992–2003], Ministry of Natural Resources of Quebec) were estimated by converting diameter at breast height (DBH, 1.3 m) into biomass using species-specific allometric equations for commercial tree species (Lambert et al., 2005; Ung et al., 2008), non-commercial tree species (Ter-Mikaelian and Korzukhin, 1997), and shrubs (Buech and Rugg, 1989). These estimates were summed at the plot level. A random forest (RF) model was then developed to estimate plot-level AGB from observed canopy height (dominant height of the canopy, i.e., mean height of the dominant trees; Burkhardt and Tomé, 2012), elevation and climate variables (Compo et al., 2011) (Table 1). This model was then used to predict AGB across the study region using the GLAS canopy height data at 1 km resolution. We tested for spatial autocorrelation in model residuals to detect potential omission of important variables (Dormann et al., 2007). To this end, we computed

Table 1
List of explanatory variables that were used to estimate AGB.

Relative frequencies of vegetation variables ^a	Physical site variables	Climate variables ^b
Species composition groups - Black spruce, balsam fir, jack pine, intolerant hardwoods, mixed, other conifers and no species composition but identified as a burned area, following Gauthier et al. (2010).	Surficial deposit groups ^a –VAVC (very abundant, very coarse), MM (moderate, moderate), MAM (moderately abundant, moderate), MAC (moderately abundant, coarse), AC (abundant, coarse), ROC (rock) and ORG (organic) (Mansuy et al., 2010).	Temperature (°C) –mean annual temperature Total precipitation (mm year ⁻¹) –the mean of annual total precipitation Degree-days (°C year ⁻¹) – Annual growing degree-days sum (above 5 °C) Growing season length (days year ⁻¹) –Duration of days for which the mean temperature is above 5 °C
Stand age classes - 0 to 20 years, 21–40, 41–60, 61–80, 81–100, ≥ 101; young uneven-aged, and old uneven-aged.	Elevation (m) - Derived for study units from SRTM DEM (90 m resolution) (Van Zyl, 2001).	Potential evapotranspiration (mm) – Annual total potential evapotranspiration (PET, Dunne and Leopold, 1978) Aridity index (mm year ⁻¹) –Accumulated monthly water deficit (monthly Thornthwaite potential evapotranspiration minus monthly precipitation) Canadian drought code – Moisture content of the deep compacted organic matter layer, 10–20 cm depth (Amiro et al., 2001)
Stand height classes - > 22 m, 17–22 m, 12–17 m, 7–12 m, 4–7 m, 2–4 m, and 0–2 m.	Slope (°) - derived from elevation data in ArcGIS 10.0	
Stand cover density classes - > 81%, 61–80%, 41–60%, and 25–40%.		

^a Derived from SIFORT geospatial database.

^b Derived from the 20CR project (Compo et al., 2011) with BioSIM (Régnière and St-Amant, 2008) for the period of 1971–2000.

Global Moran's *I*, an index of spatial autocorrelation, as a function of neighbouring distance (Moran, 1950).

We then extracted MODIS based AGB values for our study region from the Canada-wide AGB spatial product of Beaudoin et al. (2014). The authors mapped AGB at 250 × 250 m pixel resolution as a function of MODIS spectral reflectance, climatic and topographic variables using Canada's National Forest inventory photo-plots (2 km × 2 km) (Gillis et al., 2005) and a *k* nearest-neighbour (*k*NN) method. Each 2 km × 2 km photo-plot consisted of forest polygons characterized by cartographic attributes of vegetation composition, stand structure, and AGB information based on the models of Boudewyn et al. (2007). They rasterized AGB information from photo-plots to the 250 m × 250 m MODIS grid and used these photo-plot pixels as references to impute AGB values to the rest of the study area.

We further obtained an AGB map based on ASAR data from Thurner et al. (2014). This AGB map covers the Northern Hemisphere between 30°N and 80°N for boreal and temperate forests of North America, Europe and Asia. It is based on estimates of growing stock volume (volume of tree stems per unit area, m³ ha⁻¹) obtained with the BIOMASAR retrieval algorithm developed for the Envisat/ASAR satellite data at a spatial resolution of 0.01° (Santoro et al., 2011). BIOMASAR is an automated approach for modeling growing stock volume as a function of radar backscatter. This technique is based on how forest structural properties affect the response of a radar signal. Volume estimates were then converted to biomass. A more detailed explanation of this product is given by Thurner et al. (2014).

We reprojected these biomass products of different resolution (1 km², GLAS biomass map; 250 m × 250 m, MODIS biomass estimates, Beaudoin et al., 2014; and 0.01° resolution, ASAR biomass estimates, Thurner et al., 2014) to the same projection (North American Datum 1983; Lambert conformal conic projection) employing a nearest neighbor resampling technique. We then performed a spatial aggregation by computing the mean value of remotely sensed AGB values for pixel centers located within each 2-km² cell, the scale of inventory-based estimates.

2.2.3. Comparison of biomass maps

Our comparison analysis is affected by the physical principles of data acquisition used in sensors and by the spatial resolution used for the comparisons. Comparison of these products at a finer scale resolution was not considered so as to match the scale from which large fire disturbances start to impact the forest landscape structure on a regional scale (e.g. Johnson and Gutsell, 1994; Fig. 5; Frolking et al., 2009). The inventory-based AGB map of Irulappa Pillai Vijayakumar et al. (2016)

was therefore of limited use to validate remote sensing products, but was useful to show agreement or disagreement in the spatial trends observed between remotely sensed and inventory-based estimates over a large area. We considered a departure from the inventory-based map as an error (i.e. a disagreement). Values of errors were used to test the use of TSLF and other ancillary variables for improving AGB estimates from satellites over large areas.

We first explored covariations between each of the GLAS, MODIS, ASAR and inventory-based estimates in the training dataset using the Pearson correlation coefficient accounting for spatial autocorrelation in the *SpatialPack* package for R. We calculated the difference between each of the GLAS, MODIS and ASAR-based AGB estimates, and the inventory-based estimates of AGB for each 2-km² cell in the training dataset to identify potential ancillary variables. To this end, we used the training dataset created by Irulappa Pillai Vijayakumar et al. (2015). This training dataset consists of 34,234 2-km² cells. Cells were included in this dataset for one of the following three reasons: 50% or more of the area of a 2-km² cell was covered by a recent fire polygon (1970–2000, from the SOPFEU, i.e. the Quebec forest fire control agency, Société de protection des forêts contre le feu) or by a fire polygon from history maps (1880–2000) (Fig. 1). In addition, cells were included if they had more than one inventory plot (third inventory program [1992–2003], Ministry of Natural Resources of Quebec) dominated by post-fire tree species (paper birch, trembling aspen, jack pine, black spruce) and even-aged (oldest plot age was used as TSLF) (Bélisle et al., 2011).

We then developed RF models relating these map differences to covariates (relative cell frequencies of SIFORT attributes, Table 1) and observed TSLF at the 2-km² scale. We also included indices of surficial deposits in the models based on the results of Asner et al. (2010). Results of these non-parametric models are difficult to interpret and synthesize. For this reason, we also analyzed the variation in remotely sensed AGB estimates as a function of observed TSLF at the 2-km² scale, which is equivalent to producing AGB yield curves. This was intended to provide insights on the relationship existing between remotely sensed biomass estimates and TSLF at the scale of 2-km².

3. Results

3.1. Estimation of AGB with GLAS canopy height data

AGB was moderately correlated with ground-measured canopy height at the plot level ($r=0.43$, $P < 0.01$). Variable importance rating with the *Boruta* procedure further indicated that at that level, AGB was also related to potential evapotranspiration (PET), precipitation,

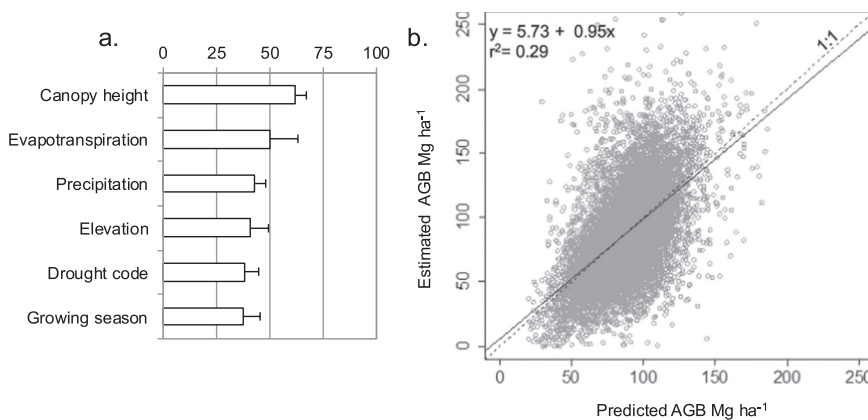


Fig. 3. Top six variables that were ranked by a random forest model for estimating AGB, based on observed canopy height at plot level (a); (b) density plot of observed vs predicted AGB by the model based on observed canopy height at plot level.

elevation, drought code, and growing season length (Table 1, Fig. 3a). Elevation was not included given its strong correlation with growing season length ($r = -0.82$, $P < 0.01$). Overall, the prediction accuracy of AGB remained moderate (i.e. $r \in [0.33, 0.66]$) ($r = 0.54$, $RMSE = 33.21 \text{ Mg ha}^{-1}$; Fig. 3b). Residuals were weakly spatially autocorrelated (Global Moran's I statistic = -0.05 , for distances $< 20 \text{ km}$). With this RF, we extrapolated AGB to the entire landscape using GLAS canopy height data at 1-km resolution.

3.2. Covariation of remotely sensed and inventory-based biomass estimates

AGB maps from MODIS and ASAR showed an expected pattern of high and low values along an east-west gradient (Fig. 4b, d), in relation with the fire regime gradient observed over the study area. Spatial distributions of AGB estimated with MODIS and inventory-based data also agreed with one another. When the inventory-based and MODIS AGB maps were compared visually with fire disturbance history, both showed low biomass values in recently burned areas (Fig. 4a, b). The AGB estimates from ASAR also showed a similar degree of spatial agreement but with lower values in recently burned areas (Fig. 4d). The GLAS biomass map exhibited a very different spatial pattern of low and high values along a north-south gradient (Fig. 4c) that could not be associated with fire disturbance history.

When comparing remotely sensed estimates, MODIS estimates exhibited the greatest correlation with inventory-based estimates ($r = 0.56$, $P < 0.01$, $RMSE = 3.28 \text{ Gg km}^{-2}$; Fig. 5b), when compared to GLAS ($r = 0.51$, $P < 0.01$, $RMSE = 2.45 \text{ Gg km}^{-2}$; Fig. 5a) or ASAR estimates ($r = 0.28$, $P < 0.01$, $RMSE = 4.04 \text{ Gg km}^{-2}$; Fig. 5c).

Correlations among remotely sensed AGB estimates of MODIS, GLAS and ASAR were also moderate: ASAR vs GLAS, $r = 0.38$, $P < 0.01$, $RMSE = 4.15 \text{ Gg km}^{-2}$ (Fig. 6a); ASAR vs MODIS, $r = 0.56$, $P < 0.01$, $RMSE = 3.26 \text{ Gg km}^{-2}$ (Fig. 6b); GLAS vs MODIS, $r = 0.38$, $P < 0.01$, $RMSE = 4.05 \text{ Gg km}^{-2}$ (Fig. 6c). AGB estimates from GLAS data were higher, on average, than those from MODIS and ASAR (Fig. 6a, c). Table 2 reports the correlation between remotely sensed and inventory estimates after accounting for spatial autocorrelation.

3.3. Detecting potential ancillary variables for remotely sensed AGB estimations

The relative proportions of the different cover density classes within 2-km² units were the most important potential ancillary variables when training RF models with differences between remotely sensed (MODIS, GLAS, ASAR) and inventory-based estimates (Fig. 7a–c). Other important variables were the relative proportions of the most dominant surficial deposits (undifferentiated tills with moderate to abundant stoniness, Table 1), and stony surficial deposits (Table 1). RF models explained 28–50% of the variability observed between remotely sensed and inventory-based estimates (MODIS, $r^2 = 0.50$, $RMSE = 1.36 \text{ Gg km}^{-2}$;

GLAS, $r^2 = 0.47$, $RMSE = 1.56 \text{ Gg km}^{-2}$; ASAR, $r^2 = 0.28$, $RMSE = 1.97 \text{ Gg km}^{-2}$; Fig. 7d–f).

Differences between inventory-based and remotely sensed estimates generally increased as a function of the percentage of closed cover (summed frequency of three cover density classes: $> 81\%$, 61–80%, 41–60%) (Fig. 8a–c). TSLF is also an alternative variable (Fig. 9a–c) to reduce the disagreement existing between remotely sensed and inventory-based estimates. Indeed, the percentages of variation that was explained by models including TSLF (MODIS, $r^2 = 0.45$, $RMSE = 1.36 \text{ Gg km}^{-2}$; GLAS, $r^2 = 0.47$, $RMSE = 1.57 \text{ Gg km}^{-2}$; ASAR, $r^2 = 0.23$, $RMSE = 2.04 \text{ Gg km}^{-2}$; Fig. 9d–f) were similar to those of models relying upon the relative abundances of cover density classes.

3.4. AGB yield curves with remotely sensed products

AGB yield curves based on MODIS estimates exhibited an expected trend of biomass increase as a function of TSLF until 60–90 years, and a decrease there after, which is consistent with curves based on inventory data, but with lower median values (Fig. 10a–b). AGB estimates based on GLAS data showed unexpectedly high values in recently disturbed 2-km² cells (TSLF < 30 years) (Fig. 10c). AGB yield curves from ASAR data exhibited a comparable trend of biomass increase as a function of TSLF (Fig. 10d), but their median values were consistently lower than GLAS, MODIS and inventory-based estimates.

4. Discussion

4.1. Interpreting covariation and spatial distributions of biomass estimates

Our analyses done at the 2-km² scale have shown the existence of moderate correlations between AGB estimates based on field inventory data and remotely sensed biomass estimates (Fig. 5a–c). Correlation was however lower with ASAR data, which can be explained by the fact that ASAR AGB values were estimated with relationships established at a worldwide scale (Chave et al., 2009), while GLAS and MODIS AGB estimates were partially based on forest inventory data and region-specific allometric equations.

Among the three remote sensing products, MODIS-based estimates exhibited the highest correlation with inventory-based estimates ($r = 0.56$, $P < 0.01$; Table 2). MODIS-based estimates were generated using Canada's National forest inventory photo-plots as reference data, themselves populated using provincial inventory data (Beaudoin et al., 2014). MODIS and inventory-based AGB estimates are ultimately related to provincial plot data. However, despite their moderate correlation, MODIS AGB estimates were consistently lower than inventory estimates across our training area (Fig. 10b). These differences were lower for recently disturbed cells (TSLF < 30 years) and increased with increasing TSLF, particularly for cells with a TSLF equal to 60–90 years (Figs. 9a, d, 10b). Differences were particularly high when cells had

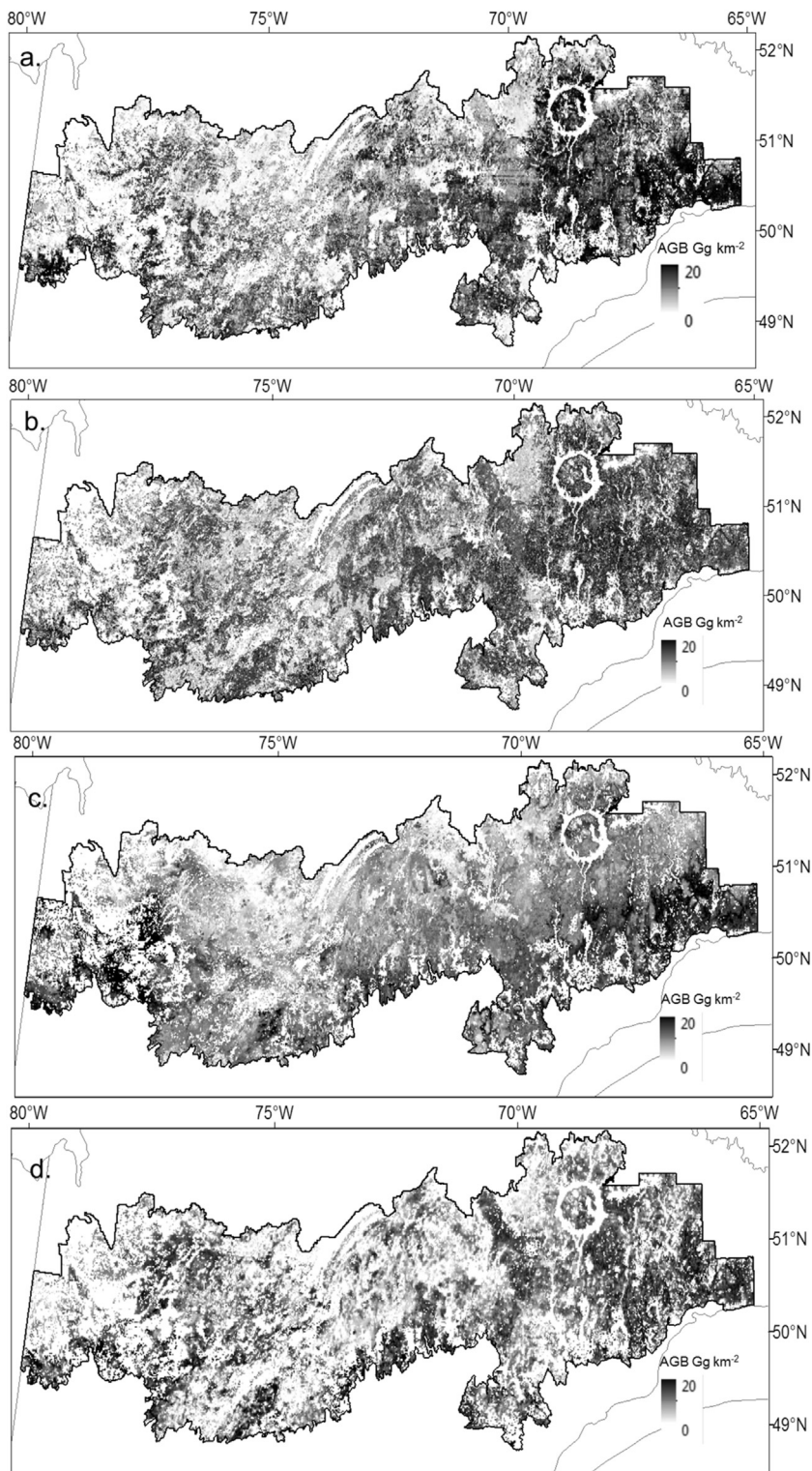


Fig. 4. Maps of AGB at the scale of 2 km², based upon: inventory data (a); MODIS data obtained from Beaudoin et al. (2014) (b); GLAS data (c); ASAR data obtained from Thurner et al. (2014) (d).

abundant closed canopy estimates with high biomass values (Fig. 8a; Irulappa Pillai Vijayakumar et al., 2016). The underestimation of high AGB values with MODIS has already been noted by Beaudoin et al. (2014), and more generically with the kNN method by Magnussen et al. (2010), and is related to saturation of MODIS reflectance at high biomass and the averaging effect across the k nearest neighbors.

In spite of the strong correlation found by Zhang et al. (2014b) between observed canopy height and AGB at the plot level, our estimates based on the same approach showed only a moderate correlation

with observed canopy height ($r=0.43$, $P < 0.01$). Differences in plot selection can partly explain these contrasting results, since Zhang et al. (2014b) calibrated their relationships with 75% of their plots non randomly scattered across their study area but specifically located in regions of high timber productivity. Tree species in high productivity regions are generally taller and forest stands have higher volume and biomass, whereas in less productive regions, other factors, such as stand density and species composition, might also become important (Irulappa Pillai Vijayakumar et al., 2016). This would imply that

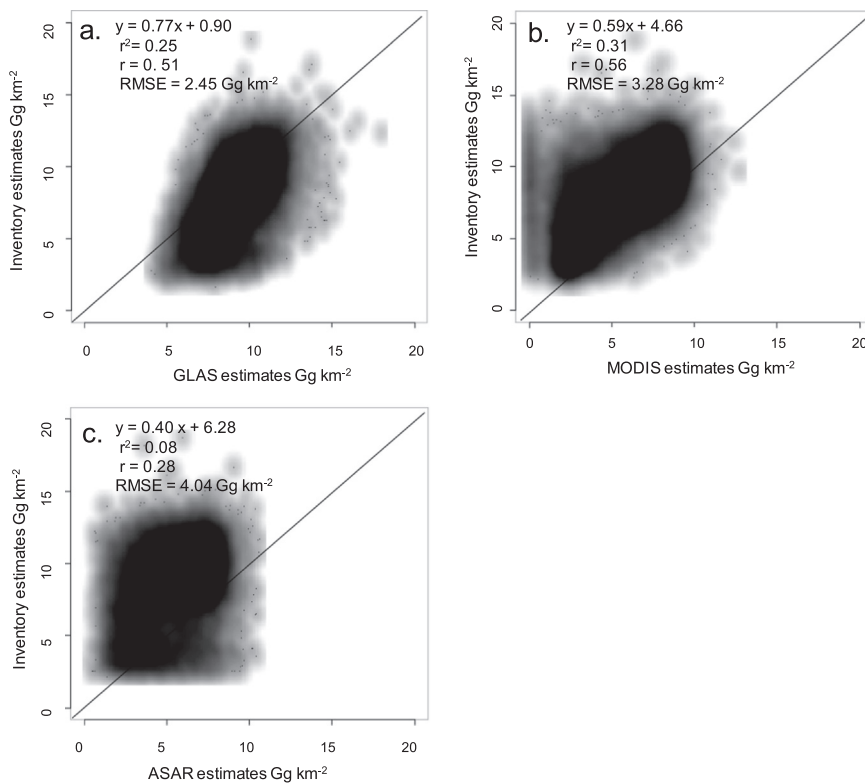


Fig. 5. Density plots of inventory-based AGB estimates as a function of remotely sensed AGB estimates at the scale of 2-km²: (a) GLAS data; (b) MODIS data; and (c) ASAR data.

canopy height alone cannot fully explain variation of AGB variation for our region of study, which contains a wide range of site productivities.

Inventory-based AGB estimates and GLAS-measured canopy height were not correlated at the plot level in our training region ($r = -0.03$, $P > 0.05$). This lack of a relationship points to a difference of scale between forest inventory observations and GLAS acquisitions (e.g., Zhao et al., 2009). Canopy height may be an important variable to

estimate biomass, but not at the spatial scale of 1 km², at least at the regional level. Pflugmacher et al. (2008) also suggested that GLAS estimates of biomass would be valuable on a global scale, but would differ from inventory estimates at a regional scale. As an example, Margolis et al. (2015) have reported at the continental scale for the boreal forest of North America (area, 3.7 million km²) higher R^2 values (0.59–0.79) between inventory-based and GLAS-based AGB values, when the

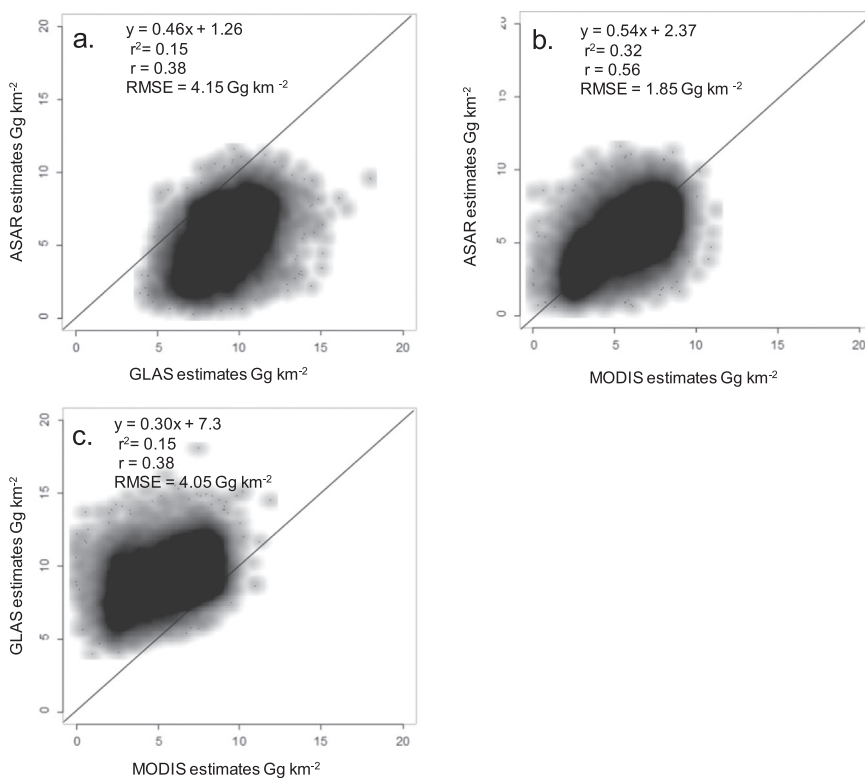


Fig. 6. Density plots of AGB estimates from remote sensing data: (a) ASAR vs GLAS; (b) ASAR vs MODIS; and (c) GLAS vs MODIS.

Table 2

Pearson correlations between each of MODIS, GLAS, ASAR and inventory-based AGB estimates after accounting for spatial autocorrelation.

	MODIS	GLAS	ASAR
Inventory-based	0.54	0.50	0.26
MODIS		0.36	0.55
GLAS			0.37

* $P < 0.01$.

ICESAT-GLAS height metrics were used to scale up airborne LiDAR-based estimates of AGB. Because of this potential scale mismatch problem, the GLAS-derived biomass map (Fig. 4c) showed greatly contrasting spatial differences when compared to spatial patterns of AGB derived from MODIS, ASAR and inventory-based estimates. This mismatch can also explain the unexpectedly high values in areas that were recently disturbed by fire (Fig. 10c).

4.2. Consistency of results with comparable studies

Our results of moderate correlations between remotely sensed biomass estimates for boreal forests in eastern Canada agree with those from similar studies. For the Colombian Amazon forests (area of 165,000 km²), the GLAS biomass estimates of Saatchi et al. (2011) and Baccini et al. (2012) overestimated AGB by 23% and 42% when compared to those of Asner et al. (2012) that had been derived from field plots and airborne LiDAR. Also, the GLAS biomass maps of Saatchi et al. (2011) and Baccini et al. (2012) over- or under-estimated, respectively ground-based estimates of AGB ($n = 413$, Amazon Forest inventory network; Malhi et al., 2002) by more than 25% and also showed different spatial patterns (Mitchard et al., 2014). The observed gradient of increasing AGB from SW to NE Amazonia was not replicated by either remote-sensed product, and it was presumed that most of the errors were related to regional variations in wood density and in height-

volume relationships (Mitchard et al., 2014).

Margolis et al. (2015) compared GLAS AGB estimates of Neigh et al. (2013) with AGB estimates based on MODIS data (Beaudoin et al., 2014) for 3.7 million km² of the North American boreal forest. Differences in mean AGB densities between both maps (GLAS–MODIS) at the scale of World Wildlife Fund eco-regions for eastern Canadian forests and central Canadian Shield forests were 0.6 and 3.2 Mg ha⁻¹, respectively (Margolis et al., 2015, their Table 13). GLAS estimates of total eco-region AGB were consistently higher than the MODIS-based estimates of Beaudoin et al. (2014) for 16 of 18 the eco-regions in Canada (Margolis et al., 2015).

4.3. Potential ancillary variables for remotely sensed AGB estimation

Identifying that TSFL, vegetation cover and geological substrate information are able to explain 28–50% of the observed variability in the differences between remotely sensed and inventory based AGB estimates is a new observation. It means that these are potential ancillary variables for remote sensing data products when estimating AGB. At a 2-km² scale, TSFL could thus serve as a proxy for canopy cover density with the benefit of possibly rectifying signal saturation in high biomass values.

Problems of scale-matching were also identified in the present study. In North American boreal ecosystems, successional dynamics are characterized by fire disturbances and post-fire vegetation recovery, both of which affect forest carbon stocks (Jones et al., 2013). Fire disturbances occur at a scale larger than most existing spatial resolutions of satellite data (Frolking et al., 2009; Bartels et al., 2016). Forest disturbance and recovery play a major role in global C budgets (Houghton, 2005). In this context, our results of showing the potential of forest succession dynamics for improving remotely sensed AGB estimates are therefore also relevant to other ecosystems (tropical and temperate). Frolking et al. (2009) have likewise emphasized the importance of combining field data with remote sensing for generating information on disturbance histories and recovery patterns to

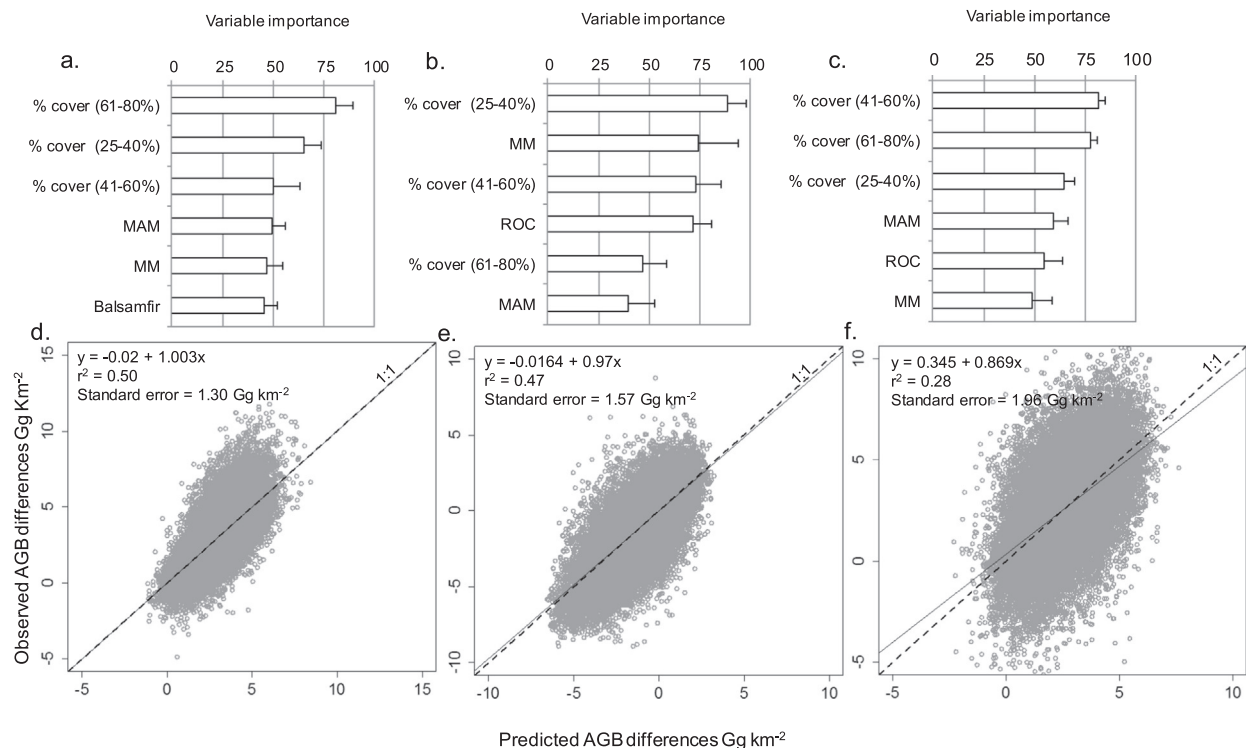


Fig. 7. Six highest ranked variables from RF models used to explain differences observed between remotely sensed and inventory-based AGB estimates with relative frequencies of SIFORT attributes (Table 1) and observed TSFL: MODIS (a); GLAS (b); and ASAR (c); density plots of observed vs predicted AGB differences between inventory-based and remotely sensed AGB estimates: MODIS (d), GLAS (e), and ASAR data (f).

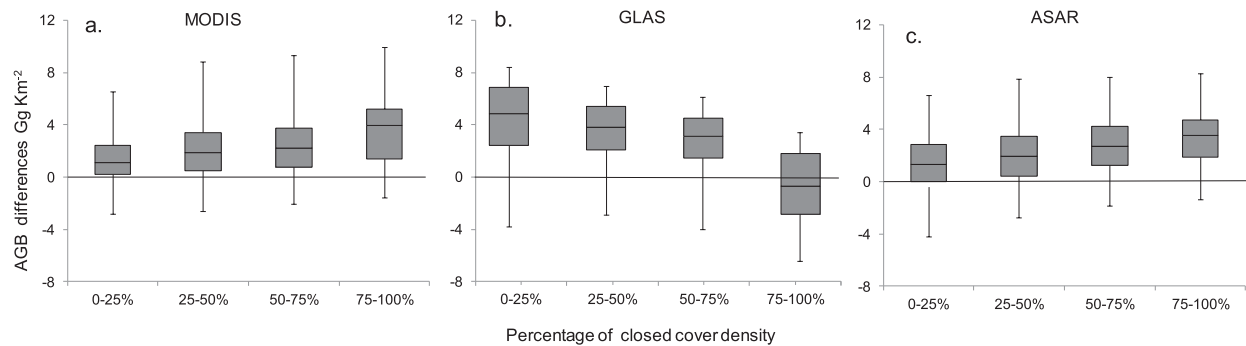


Fig. 8. Box-and-whisker plots of observed differences between inventory-based AGB estimates and biomass estimates of MODIS (a), GLAS (b), and ASAR (c), regrouped by abundance classes of closed-canopy cover densities.

accurately estimate biomass across forests world-wide. The current condition of a forest stand is related to its disturbance and recovery history (Pflugmacher et al., 2012). Time series analysis of satellite data would provide detailed information on prior canopy recovery/vegetation trend conditions that were based on disturbance histories (Main-Knorn et al., 2013; Ahmed et al., 2014; Madoui et al., 2015). Chu and Guo (2014) have proposed merging different remote sensing data with field data for generating high-quality and quantitative information on post-fire canopy recovery patterns.

5. Conclusions

We undertook this study to determine how to improve the accuracy of spatial AGB estimates based on different remote sensing data collected over a large area of boreal forest. Our analysis indicated the potential for enhancing the relationship between reflectance data and AGB through the incorporation of disturbance histories and vegetation recovery trends. Not surprisingly, adding information on the relative proportion of canopy cover density that was linked to signal saturation

reduced the differences between inventory based and remotely sensed biomass estimates. TSLF may represent a proxy for such information at the 2-km² scale and thus presents a strong potential to rectify the problem of signal saturation.

Looking forward, the future of remote sensing of vegetation biomass relies on LiDAR technology for studying trees in a three-dimensional context. Airborne LiDAR is preferred to spaceborne LiDAR to estimate AGB, but its use remains confined to relatively small study areas due to prohibitive acquisition costs (Zolkos et al., 2013). Combining airborne LiDAR metrics with spaceborne LiDAR measurements may overcome this problem of cost (e.g. Margolis et al., 2015) and in conjunction with information on disturbance history and surficial geological substrate information may provide still more accurate AGB estimates.

Furthermore, we also have demonstrated the need to integrate ground plots with remotely sensed data up to the scale at which disturbances tend to occur. We also suggest that adequate measures of uncertainty should be provided with remotely sensed biomass estimates by using spatially exhaustive ground-plot data. We therefore propose the inclusion of metrics that relate to both horizontal and vertical

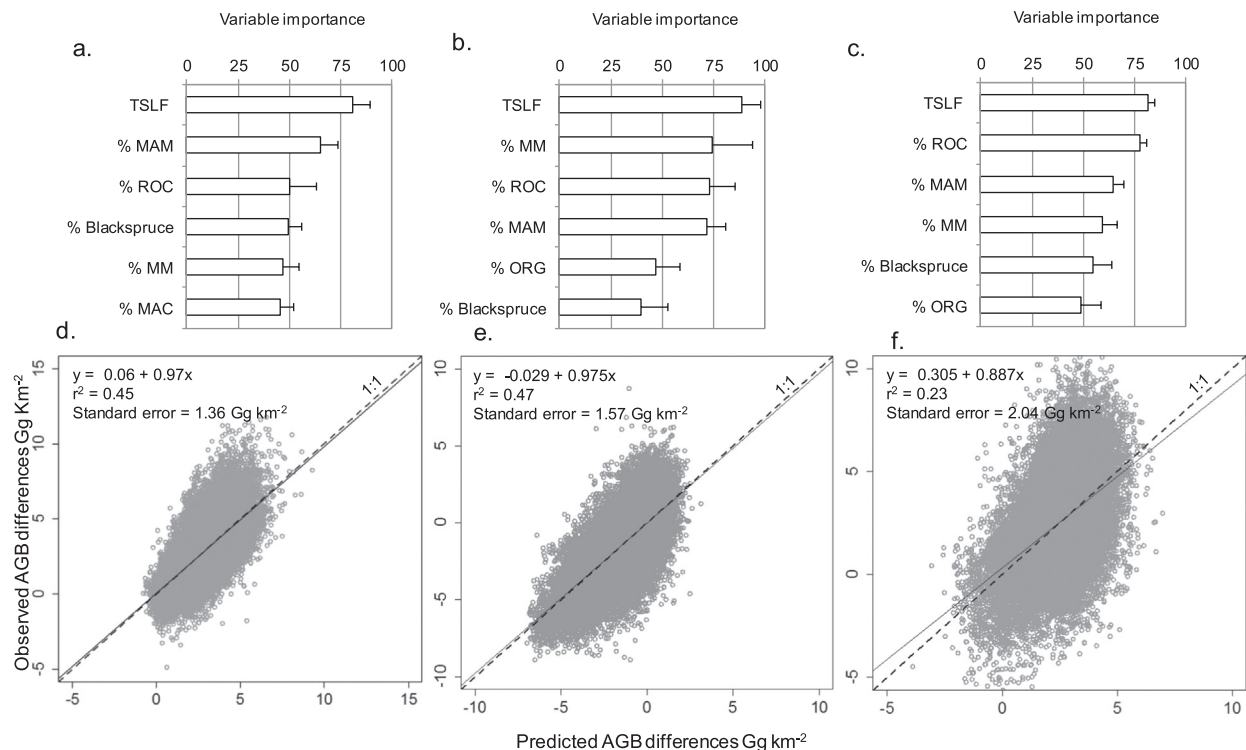


Fig. 9. Six highest ranked variables from RF models used to explain differences observed between remotely sensed and inventory-based AGB estimates when abundances of cover canopy density classes are removed from the list of potential explanatory variables: MODIS (a); GLAS (b); and ASAR (c). Density plots of observed vs predicted AGB differences between remotely sensed and inventory-based AGB estimates: MODIS (d); GLAS (e); and ASAR data (f).

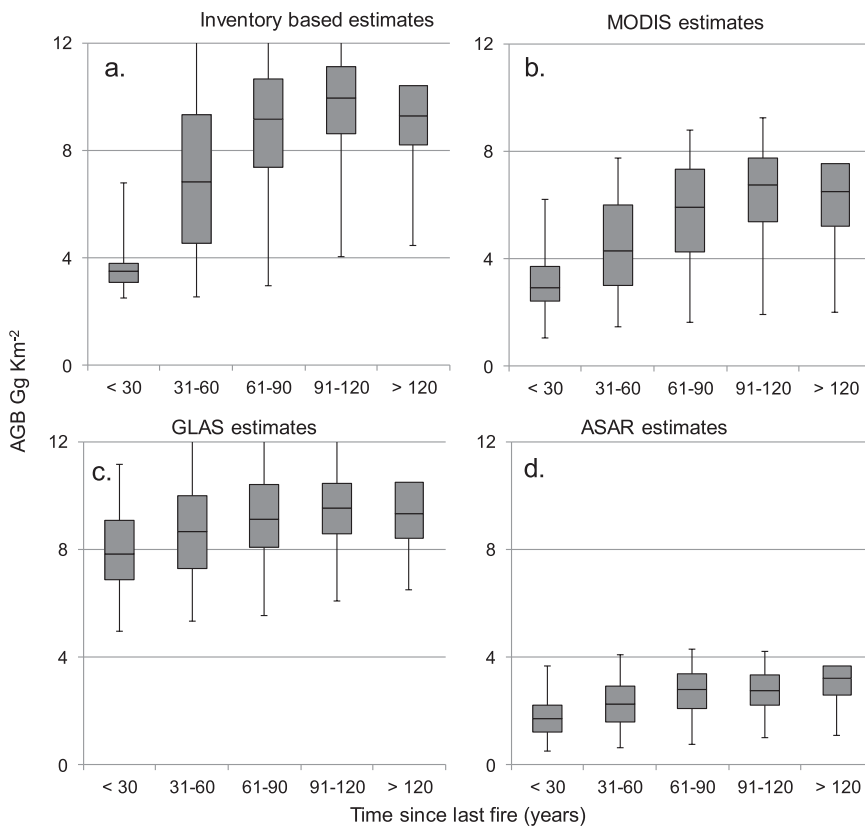


Fig. 10. Box-and-whisker plots of AGB estimates based on inventory data (a), MODIS (b), GLAS (c), and ASAR data (d), as a function of TSLF at the 2-km² scale.

canopy structures (Lu et al., 2014) and factors relevant for forest disturbance and recovery patterns (e.g. TSLF, surficial deposits) for AGB estimation.

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